

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 1570.00)

## Complete If Known

Application Number 09/575,154  
 Filing Date 23 May 2000  
 First Named Inventor Paul Lapstun  
 Examiner Name Daniel St Cyr  
 Art Unit 2876  
 Attorney Docket No. NPT001US

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MAY 23 2003

TECHNOLOGY CENTER 2800

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:
 Deposit Account Number  
 Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	750.00
1802 900	900	Request for expedited examination of a design application	
Other fee (specify) 3 months fees less 1 month fee			820.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1570.00)

## SUBMITTED BY

Name (Print/Type) Paul Lapstun, Kia Silverbrook and Simon Robert Wainman

Registration No. (Attorney/Agent)

(Complete if applicable)

Telephone +61298186633

Signature

Date May 26, 2003

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031  
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## Certificate of Transmission under 37 CFR 1.8

USSN 09/575,154 (Docket No. NPT001US)  
Response to Advisory Office Action dated April 21, 2003I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Officeon May 26, 2003  
Date  
SignaturePaul Lapstun, Kia Silverbrook and Simon Robert Walmsley  
Typed or printed name of person signing CertificateNote: Each paper must have its own certificate of transmission, or this certificate must identify  
each submitted paper.

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**SILVERBROOK RESEARCH Pty Ltd**

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Email: info@silverbrookresearch.com

ACN 066 573 671

**FACSIMILE MESSAGE**

Date **May 26, 2003**

To: **Receptionist Group Art Unit 2876**

Fax No.: **0011 1 703 308 7721**

Subject: **United States Patent Application No. 09/575,154**  
**Inventors/Assignors: Paul Lapstun, Kia Silverbrook and Simon Robert**  
**Walmsley**  
**Assignee: SILVERBROOK RESEARCH PTY LTD**

Our Ref: **NPT001US**

Total Number of Pages (including this) : **10**

Attached is a response to the Office Action of Examiner Daniel St Cyr, dated April 21, 2003.

Regards

PP: Leonie News  
Silverbrook Research Pty Ltd

*Attachment omitted from  
previous facsimile*

This facsimile contains information that is privileged and confidential, and is intended only for the use of the individual or entity named above. If you have received this facsimile in error, or if the transmission is not complete, please notify us immediately by telephone or facsimile.

#12/RCE  
6/11/03  
C Moore

PTO/SB/30 (5/2000)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/575,154
Filing Date	May 23, 2000
First Named Inventor	Paul Lapstun
Group Art Unit	2876
Examiner Name	Daniel St Cyr
Attorney Docket Number	NPT001US

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.63 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted  
i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).  
ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
iii. ☐ Other \_\_\_\_\_  
b. ☒ Enclosed  
i. ☒ Amendment/Reply  
ii. ☐ Affidavit(s)/Declaration(s)  
iii. ☐ Information Disclosure Statement (IDS)  
iv. ☒ Other Petition for Extension of Time

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)  
b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_  
i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)  
ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)  
iii. ☐ Other \_\_\_\_\_  
b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed  
c. ☒ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Paul Lapstun, Kia Silverbrook and Simon Robert Walsmley	Registration No. (Attorney/Agent)	N/A
Signature	<i>Paul Lapstun</i>	Date	May 26, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	CHILLS 00000015 09575154	Date	
Signature			

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05/18/2003

01 FC:2252

02 FC:2801

PTO/SB/30 (5/2000)

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 ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
 iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed  
 i. ☒ Amendment/Reply  
 ii. ☐ Affidavit(s)/Declaration(s)  
 iii. ☐ Information Disclosure Statement (IDS)  
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 iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☒ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Paul Lapstun, Kia Silverbrook and Simon Robert Walsmley	Registration No. (Attorney/Agent)	N/A
Signature	<i>Paul Lapstun</i>	Date	May 26, 2003

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#13/Response  
6.11.03  
C Moore

## In the United States Patent and Trademark Office

Serial Number: 09/575,154  
Application. Filed: May 23, 2000  
Applicant: Paul Lapstun, Kia Silverbrook and Simon Robert Walmsley  
Application. Title: IDENTITY-CODED SURFACE WITH REFERENCE POINTS  
Examiner/GAU: St Cyr, Daniel/GAU2876

Dated May 26, 2003  
At: Balmain NSW Australia  
Docket No. NPT001US

## AMENDMENT C

Assistant Commissioner for Patents  
Washington, District of Columbia 20231

Dear Sir:

In response to the Office Action of April 21, 2003, the Applicant responds as follows: